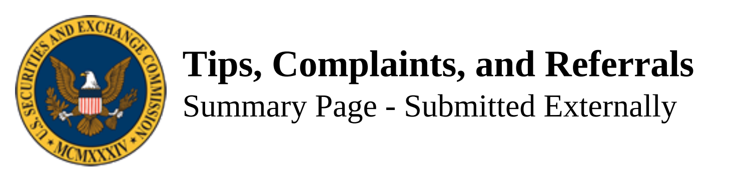


Submission Number 15508-962-459 was submitted on Friday, February 22, 2019 at 10:33:41 AM EST

This PDF was generated on Friday, February 22, 2019 at 10:33:46 AM EST

What is your complaint about?

Q: Please select the option that best describes your complaint.

A: Insider trading

Q: Please select the specific category that best describes your complaint.

A: Corporate insider

Q: In your own words, describe the conduct or situation you are complaining about.

A: Good morning, I reported a suspicious transaction earlier this week under Submission Number 15505-488-503, and this is a follow up. There is a Bloomberg article from today that describes precisely the situation I reported (I did not speak to the press): https://www.bloomberg.com/news/articles/2019-02-22/natixis-said-to-have-examined-executive-s-share-sale-before-loss The main piece of information that is news to me is the following: “Debray had decided to dispose of the shares several weeks before he did so, people familiar with the matter said.” I do not know whether this is true, but I now know that the problem in Asia was known as early as October, several weeks before Pierre Debray sold his shares. I think that I can obtain an email that proves it, if needed. I am aware that the SEC does not communicate about ongoing investigations. However, could you please let me know whether you think this is outside of the purview of the SEC, in which case I will stop sending you information about this suspicious transaction. And if it is something you decide you want to look into (I provided several reasons why I think you might want to), please let me know whether you would like me to find information that can be useful

to the investigation, such as the email I mentioned above. Thanks, [redacted]@gmail.com [redacted]

Q: Are you having or have you had difficulty getting access to your funds or securities?

A: No

Q: Did you suffer a loss?

A: No

Q: When did you become aware of the conduct? (mm/dd/yyyy)

A: 01/16/2019

Q: When did the conduct begin? (mm/dd/yyyy)

A: 11/14/2018

Q: Is the conduct ongoing?

A: No

Q: When did the conduct stop? (mm/dd/yyyy)

A: 11/14/2018

Q: Has the individual or firm acknowledged the conduct?

A: No

Q: How did you learn about the conduct? You may select more than one answer.

A: Publicly available information

About you

Submitter # 1

Q: Are you filing this tip under the SEC's whistleblower program?

A: Yes

Q: Are you an attorney filling out this form on behalf of an anonymous whistleblower client who is seeking an award?

A: No

Q: Title

A: Mr

Q: First Name

A: [redacted]

Q: Last Name

A: [redacted]

Q: Mobile Telephone

A: [redacted]

Q: Email Address

A: [redacted]@gmail.com

Q: Are documents or other information being submitted that could potentially identify the whistleblower?

A: No

Q: Does the whistleblower want to be eligible to apply for a whistleblower award?

A: No